# ETHICS COMPLAINT
## Quick Response Form

A complaint has been filed with the IBA Ethics Committee against you. Attached to this Quick Response Form is a copy of the Ethics Complaint as filed with the ITEX Ethics Committee. After review of the Ethics Complaint, please fill out this Quick Response Form and send back within 7 days. Please attach all supporting document(s) that you feel are relevant to this complaint and mail or fax to the ITEX Ethics Committee Chairperson. (see address below)

Broker: _____ ITEX Account Number: _____

Address: _____ Phone Number: _____

City: _____ Fax Number: _____

State & Zip: _____ E-mail Address: _____

I, _____ have received the Ethics Complaint filed against me.

I ☐ agree ☐ disagree with the statements made by the complainant.

Please explain why you agree or disagree with the statements made by the complainant: (use additional paper as needed)

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☐ Check here if additional pages or documentation are to be included with this form.

Signature of Respondent: ✕_____ Date: _____

Mail or Fax this Quick Response Form and supporting documentation to the Ethics Committee, C/O Ethics Committee Chairperson.

Chairperson: _____ ITEX Account Number: _____

Address: _____ Phone Number: _____

City: _____ Fax Number: _____

State & Zip: _____ E-mail Address: _____

Date Sent: _____ Date Returned: _____ Date of Phone Conference: _____ Resolution Date: _____