

ETHICS COMPLAINT



<p>1. _____ COMPLAINT FILED BY</p> <p>3. _____ BUSINESS NAME</p> <p>5. _____ OWNER OF BUSINESS</p> <p>7. _____ Address</p> <p>9. _____ City, State, ZIP</p> <p>11. _____ Phone</p> <p>15. _____ E-mail address</p> <p>17. _____ Date of Original Transaction</p>	<p>2. _____ COMPLAINT FILED AGAINST</p> <p>4. _____ BUSINESS NAME</p> <p>6. _____ OWNER OF BUSINESS</p> <p>8. _____ Address</p> <p>10. _____ City, State, ZIP</p> <p>12. _____ FAX</p> <p>13. _____ Phone</p> <p>16. _____ E-mail address</p> <p>18. _____ Date Problem Occurred</p>		
<p>13. _____ Phone</p>	<p>14. _____ FAX</p>	<p>19. _____ Amount Involved</p>	<p>20. _____ Amount Disputed</p>

HISTORY OF DISPUTE/COMPLAINT

Date(s) you complained to business involved: _____ To whom did you speak? _____

What product or service is involved? _____

What is your complaint? *Be specific!* Enclose photocopies of agreements, receipts, canceled checks/trade drafts and other relevant documents. Attach additional pages if necessary.

State which specific code of ethics article(s) you believe have been violated.

What course of action do you recommend to solve this complaint?

Complainant acknowledges that, in utilizing the ethics board as process toward resolution, neither complainant nor its officers or directors shall have any recourse at law against the members of the ethics board, jointly or severally, ITEX Corporation or their broker (except as the same may be a respondent); and further, complainant and its officers and directors specifically waive any legal recourse against the above-mentioned persons stemming from this ethics compliant and dispute resolution process, and acknowledge that by participating in this process they grant a full and unconditional release of legal liability to such persons.

Signature of Complainant: _____ Date: _____

COMPLAINT PROCESSING: File this form with the Ethics Board C/O ITEX Corporation, 3625 132ND AVENUE SE, SUITE 200, BELLEVUE, WA 98006 or the current Chairperson of the IBA Ethics Committee.

By signing this form, your complaint will be submitted to the Ethics Board and in turn submitted to the Business in question for a response. Non-ITEX clients or brokers must submit a \$200 filing fee to cover processing costs of mailing, phone calls, etc. This fee does not apply to any ITEX clients or brokers or a member of the public.